

To 致: Bank Consortium Trust Co. Ltd. 銀聯信託有限公司
 c/o orientiert XYZ Securities Limited (formerly known as AMTD Global Markets Limited)
 orientiert XYZ Securities Limited (前稱尚乘環球市場有限公司)

FORM: AP(SVC)-TCM

Room 3301, Tower One, Lippo Centre, No.89 Queensway, Hong Kong 香港金鐘道89號力寶中心1座33樓3301室
 Fax 傳真: (852) 2172 0999 AMTD MPF Hotline AMTD 強積金熱線: (852) 2172 0909 Website 網址: www.orientiertgroup.com

AMTD MPF Scheme – Application Form – Special Voluntary Contribution Savings Plan (and CRS Self-Certification)

AMTD 強積金計劃 – 特別自願性供款儲蓄計劃申請表格 (及共同匯報標準的自我證明)

Note 注意

- Please read the principal brochure (and any addendum thereto) of the AMTD MPF Scheme carefully before completing this form. You can download the MPF Scheme Brochure at www.orientiertgroup.com or by scanning the QR code.
 填寫此申請書前, 請先細閱AMTD強積金計劃選總說明書及任何其附錄的條款。
- I understand that, if I do not make an election on the arrangement of the accrued benefits in “Special Voluntary Contribution”, upon termination of my employment or transferring accrued benefit to other scheme, I will be taken to have elected to transfer to / retain in “Special Voluntary Contribution” account in the personal account of the current scheme.
 本人明白, 如本人於離職後 / 轉移有關累算權益至其他計劃時, 未有通知受託人對現有「特別自願性供款」戶口內的累算權益的安排, 本人將被視作選擇將有關累算權益轉移至 / 保留於現有計劃之個人帳戶的「特別自願性供款」戶口內。
- Please mark “✓” in the appropriate box. 請於適用的方格內填上“✓”號。
- Please countersign any alterations made in this form. 如須作出任何刪改, 請於刪改之位置旁簽署。



Part I. Member Details (Mandatory Field) 第I部份 成員資料 (必填部份)	
Name of Plan 計劃名稱 AMTD MPF Scheme AMTD 強積金計劃	Participating Plan No. (Internal use only) 參與計劃編號 (內部專用)
Name of Member 成員姓名 (Must be identical to the one shown on your Hong Kong ID Card / Passport 須與您的香港身份證 / 護照上之姓名相同)	
Surname 姓 (English 英文) _____	First Name 名 (English 英文) _____
Chinese Name 中文姓名 _____	
Identification Information ▲ 身份證明文件資料 ▲	
<input type="checkbox"/> HKID Card No. 香港身份證號碼 _____	<input type="checkbox"/> Passport No. 護照號碼 _____ (Only for person without HKID card 只適用於並未持有香港身份證)
Sex 性別 <input type="checkbox"/> Male 男 <input type="checkbox"/> Female 女	Date of Birth ▼ (D / M / Y) 出生日期 ▼ (日 / 月 / 年) _____
Nationality 國籍 _____	
▲ Must provide copy of the HKID card / passport / other identification document bearing photograph. 必須附上香港身份證副本 / 護照副本 / 其他附有相片的身份證明文件副本。	
Country / Jurisdiction of Tax Residency 稅務居民所在國家 / 司法管轄區	
Please put a “✓” in the following box as appropriate 如適用, 請在下面的方格填上「✓」。	
I hereby declare that, to the best of my knowledge and belief 以本人所知及所信, 在此聲明:	
My Tax Residence is 本人之稅務居住地為	
<input type="checkbox"/> Hong Kong ONLY with no tax residence in any other jurisdictions or countries (and my HKID number is my Taxpayer Identification Number (TIN) as Hong Kong tax resident).	
只有香港, 及沒有處於任何其他司法管轄區或國家的稅務居住地 (而我的香港身份證號碼是我作為香港稅務居民的稅務編號)。	
[If the box above does not apply, please proceed to Part V which MUST be filled in for tax residence of either (a) Hong Kong and also some other jurisdictions or countries or (b) not Hong Kong, but instead some other jurisdictions or countries.	
如果上面的方格不適用, 請填寫第V部份。該部份為稅務居住地是 (甲) 香港及其他司法管轄區或國家或 (乙) 不是香港而是其他司法管轄區或國家的稅務居民必須填寫的部份。]	
This Part I, particularly the personal information (including name, HKID card no., date of birth and address(es)) provided herein and the declaration regarding Country / Jurisdiction of Tax Residency, forms part of the “Self-Certification” referred to in Part V. Please, in that regard, note the Important Notes stated in Part V.	
此第I部份所提供的個人資料 (包括姓名、香港身份證號碼、出生日期及地址) 及稅務居民所在國家 / 司法管轄區聲明, 及構成第 V 部份「自我證明」的一部分。就此, 請細閱第V部份中的重要提示。	

▼ The full and accurate Date of Birth provided is very important. If you select the Default Investment Strategy as your Investment Mandate, the Date of Birth will be used for calculating your age band with reference to the pre-set allocation percentages as shown in the DIS de-risking table for annual de-risking execution. 提供完整及準確的出生日期是非常重要的。如您選擇預設投資策略作為您的投資委託, 您的出生日期將被用作計算您的年齡, 並按照預設投資策略降低風險列表的配置百分比執行每年降低風險安排。



Part I. Member Details (Mandatory Field) 第I部份 成員資料 (必填部份) (Continued 續)

Residential Address# 住址 # ("In-care-of" address and P.O. Box address will not be accepted. All correspondence will be sent to the following address. 「轉交」地址及郵政信箱恕不接受, 所有通訊將寄往以下地址。)

Flat / Room 室 _____ Floor 樓 _____ Block 座 _____

Building / Estate Name 大廈 / 屋苑名稱 _____

Street / Road 街道 _____ District 地區 _____

Hong Kong 香港 Kowloon 九龍 New Territories 新界 Overseas (Country and City)∇ 海外 (國家及城市)∇

China 中國 _____ (City 城市)

Others 其他 (Please specify 請說明)

_____ (Country 國家) _____ (City 城市)

∇ For overseas address 適用於海外地址

Please provide your local mobile phone no. and email address to receive the PIN verification code for password set up to login member website. 請提供本地手提電話號碼及電郵地址以便收取驗證碼後設定登入「成員網站」的個人密碼。

Telephone No. 電話號碼	Country Code 國家號碼	Area Code 地區號碼	Phone No. 電話號碼	Ext. 內線
Local Mobile 本地手提			_ _ _ _ _ _ _ _ _	
Business 辦公室			_ _ _ _ _ _ _ _ _	_ _ _ _
Residential 住宅			_ _ _ _ _ _ _ _ _	
China / Overseas 中國 / 海外	_ _	_ _ _ _ _ _	_ _	- _ _ _ _
E-mail Address 電郵地址	_____			

Occupation 職業 _____	Job Title 職稱 _____
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Nature of business 業務性質:

- | | |
|--|--|
| <input type="checkbox"/> Catering 飲食業 | <input type="checkbox"/> Building & Construction 建造業 |
| <input type="checkbox"/> Manufacturing / Factories / Engineering 製造業 / 工廠 / 工程 | <input type="checkbox"/> Finance / Insurance / Business Services 金融 / 保險 / 商用服務 |
| <input type="checkbox"/> Real Estate / Property Management / Cleaning 地產業 / 物業管理 / 清潔 | <input type="checkbox"/> Entertainment / Retail / Personal Services / Media 娛樂 / 零售 / 個人服務業 / 傳媒 |
| <input type="checkbox"/> Information Technology 資訊科技 | <input type="checkbox"/> Wholesale / Import & Export Trades 批發 / 出入口貿易 |
| <input type="checkbox"/> Social Services / Education / Charities / Government Agencies 社會服務 / 教育 / 慈善 / 政府部門 | <input type="checkbox"/> Transportation & Logistics Services 運輸及物流服務 |
| <input type="checkbox"/> Others 其他 (please specify 請說明): _____ | |

The full and accurate Date of Birth provided is very important. If you select the Default Investment Strategy as your Investment Mandate, the Date of Birth will be used for calculating your age band with reference to the pre-set allocation percentages as shown in the DIS de-risking table for annual de-risking execution. 提供完整及準確的出生日期是非常重要的。如您選擇預設投資策略作為您的投資委託, 您的出生日期將被用作計算您的年齡, 並按照預設投資策略降低風險列表的配置百分比執行每年降低風險安排。

Under Section 91(2) of the Mandatory Provident Fund Schemes (General) Regulation, the Trustee is required to maintain a record of each member's residential address. 按強制性公積金計劃 (一般) 規例第91(2) 條, 受託人必須記錄每位成員的住址資料。

Part II. Regular Contribution 第II部份 定期供款

Monthly regular contribution must be made in the form of direct debit with a minimum amount of **HK\$300**. Please complete the details below and the enclosed Direct Debit Authorisation Form. It may take 2 to 6 weeks to process your instruction. Please consult your banking officer if any service fee will be charged by your bank. Bank Consortium Trust Company Limited ("BCTC") will send a confirmation letter to you notifying the date for the first payment to be debited from your bank account.

每月定期供款必須以直接付款方式支付，最低供款額為**300** 港元。請填妥以下資料及附頁的直接付款授權書。處理有關指示約需時二至六星期。請聯絡您的銀行主任以便了解在此服務上會否收取任何費用。銀聯信託有限公司（「銀聯信託」）會發出確認通知書，通知首次從您的銀行帳戶中扣除供款的日期。

Monthly Regular Contribution Amount 每月定期供款金額	First Direct Debit Month 首次直接付款月份	Monthly Direct Debit Date on# 每月直接付款日期#														
HK\$ _____ 港元	<table border="1"> <tr> <td>Month</td> <td></td> <td></td> <td>Year</td> <td></td> <td></td> <td></td> </tr> <tr> <td>月</td> <td></td> <td></td> <td>年</td> <td></td> <td></td> <td></td> </tr> </table>	Month			Year				月			年				<input type="checkbox"/> Day of each month 日，於每月 <input type="checkbox"/> Last day of each month 每月最後一天
Month			Year													
月			年													

The source of funds for captioned application is from 上述申請的資金來源是從：

- Salary 薪金
 Personal savings 個人存款
 Inheritance 遺產
 Sale of property 出售物業
 Investment return 投資回報
 Investment matured 已到期的投資產品
 [Others — please specify 其他 — 請說明：_____].

If not specified or if 29th, 30th or 31st is selected, the Monthly Direct Debit Date will be the **last day of each month**. If the direct debit day is a public holiday, Saturday, gale warning day or black rainstorm warning day, it will be the following business day. If the direct debit day falls on a Saturday which is also the last day of the month, it will be the preceding business day.

如沒指示，或選擇每月之29日、30日或31日為直接付款日，「每月直接付款日期」將為每月最後一天。如直接付款日為公眾假期、星期六、烈風警告日或黑色暴雨警告日，則順延至隨後的工作天。如直接付款日為每月最後一天並為星期六，則提早一個工作天。

Part III. Lump Sum Contribution 第III部份 整筆供款

The minimum amount of each lump sum contribution is **HK\$500**. Please enclose your own crossed personal cheque made payable to the scheme by referring to the respective payee name below. Do not send us cash or pay by cash / bank-in the cheque at our designated bank branches as it would delay the processing time. Please also complete the details below for processing.

整筆最低供款額為**500** 港元。務請附上您的個人劃線支票乙張以支付該計劃，並參閱以下之支票抬頭。請勿郵寄現金或於我們的特定銀行分行遞交現金 / 存入支票，此舉將會延遲處理有關申請的時間。請填妥以下有關資料以便處理。

Payee Name 支票抬頭	Contribution Amount 供款金額
Bank Consortium Trust Co Ltd as trustee of AMTD MPF Scheme	HK\$ _____ 港元

The source of funds for captioned application is from 上述申請的資金來源是從：

- Salary 薪金
 Personal savings 個人存款
 Inheritance 遺產
 Sale of property 出售物業
 Investment return 投資回報
 Investment matured 已到期的投資產品
 [Others — please specify 其他 — 請說明：_____].

Part IV. Indicate Your Investment Mandate (Remarks 1 & 2) 第IV部份 設定您的投資委託指示 (備註1及2)**Important Note 重要提示**

Please indicate your investment mandate for Special Voluntary Contributions in the column provided below. If you do not wish to choose an investment option, you do not have to do so, but if no investment mandate is specified in the column, or if what is specified is not a valid investment mandate (or is regarded to be not as a valid investment mandate), all future contributions or transfer-in asset to the respective account will be 100% invested into the **Default Investment Strategy ("DIS")**. The DIS is not a fund; it is a strategy that uses two funds (i.e. AMTD Invesco Core Accumulation Fund and AMTD Invesco Age 65 Plus Fund) to manage investment risk exposure by automatically reducing the exposure to higher risk assets and correspondingly increasing the exposure to lower risk assets as you approach your retirement age. In general, the de-risking of investment in DIS will be automatically carried out each year on your birthday, when you are at the age from 50 to 64. For details, you may refer to the information on DIS at www.orientiertgroup.com. For your fund choice combination, you are free to choose to invest into the DIS and / or one or more constituent funds from the list below (including AMTD Invesco Core Accumulation Fund and AMTD Invesco Age 65 Plus Fund as standalone investments). 請於下列欄位清楚填寫您的「特別自願性供款」之投資委託指示，若您不願意提供投資選擇，您可選擇不提供，但如您就個別戶口沒有填上投資委託指示，或若其指定的指示並非有效的投資委託（或被視作並非有效的投資委託），該戶口日後的所有供款或轉入資產，將**100%**投資於預設投資策略（「預設投資」）。預設投資並不是一個投資基金；而是一個透過利用兩個投資基金（即**AMTD 景順核心累積基金**及**AMTD 景順65歲後基金**）去管理投資風險的策略。它會在您接近退休年齡前自動減持高風險的資產及增持低風險的資產。預設投資的降低投資風險安排一般會在您**50**至**64**歲間，每年的生日當天執行。詳情可參照於www.orientiertgroup.com。於您的基金選擇組合內，您可自由選擇投資於預設投資及 / 或下列一個或多個成份基金（包括作為單獨投資的**AMTD 景順核心累積基金**及**AMTD 景順65歲後基金**）。

Investment Mandate 投資委託		Special Voluntary Contributions 特別自願性供款
		Investment Allocation Percentage 投資配置百分比 (%) (Must be an integer and all percentages for each account should add up to 100% in total 必須為整數及每個戶口的百分比的總和必須為100%) (Remark 2 備註2)
DIS	Default Investment Strategy 預設投資策略	
AICF	AMTD Invesco MPF Conservative Fund AMTD 景順強積金保守基金	
AIGB	AMTD Invesco Global Bond Fund AMTD 景順環球債券基金	
AIAF	AMTD Invesco Asia Fund AMTD 景順亞洲基金	
AIEF	AMTD Invesco Europe Fund AMTD 景順歐洲基金	
AIHK	AMTD Invesco Hong Kong and China Fund AMTD 景順香港中國基金	
AI18	AMTD Invesco Target Retirement Now Fund (Previously known as AMTD Invesco Target 2018 Retirement Fund) AMTD 景順目標現在退休基金 (前稱AMTD 景順目標2018退休基金)	
AI28	AMTD Invesco Target 2028 Retirement Fund AMTD 景順目標2028退休基金	
AI38	AMTD Invesco Target 2038 Retirement Fund AMTD 景順目標2038退休基金	
AI48	AMTD Invesco Target 2048 Retirement Fund AMTD 景順目標2048退休基金	
ARDA	AMTD Allianz Choice Dynamic Allocation Fund AMTD 安聯精選靈活資產基金	
ARCS	AMTD Allianz Choice Capital Stable Fund AMTD 安聯精選穩定資本基金	
ARSG	AMTD Allianz Choice Stable Growth Fund AMTD 安聯精選穩定增長基金	
ARBF	AMTD Allianz Choice Balanced Fund AMTD 安聯精選均衡基金	
ARGF	AMTD Allianz Choice Growth Fund AMTD 安聯精選增長基金	
ACAF	AMTD Invesco Core Accumulation Fund (No automatic de-risking features) AMTD 景順核心累積基金 (沒有自動降低投資風險特性)	
AA65	AMTD Invesco Age 65 Plus Fund (No automatic de-risking features) AMTD 景順 65 歲後基金 (沒有自動降低投資風險特性)	
Total 總和		100%

Part IV. Indicate Your Investment Mandate (Remarks 1 & 2)
第IV部份 設定您的投資委託指示 (備註1及2) (Continued 續)

Remarks 備註

- The investment mandate on this Form will apply to special voluntary contributions only.
此表格上之投資委託指示將只適用於特別自願性供款的投資指示。
- A valid Investment Mandate for Special Voluntary Contributions must be such that (a) each Investment Allocation Percentage is specified as an integer, i.e. a whole number, of at least 1%, and (b) all of the Investment Allocation Percentages add up to 100% in total. If an Investment Mandate does not comply with such requirements including, but not limited to cases where any Investment Allocation Percentage is specified not as an integer of at least 1% or all of the Investment Allocation Percentages add up to more than 100% in total, the Investment Mandate will be regarded as invalid. If all of the Investment Allocation Percentages add up to less than 100% in total, you would be regarded as not having given a valid Investment Mandate in respect of the shortfall, and the contributions / assets corresponding to such shortfall will be invested into the DIS.
此特別自願性供款的有效投資委託必須為(a) 每個投資配置的百分比須以至少1% 的整數 (即完整的數目) 表示, 及(b) 全部投資配置百分比的總和等於100%。若投資委託並未符合上述要求, 包括但不限於任何投資配置的百分比並不是至少1% 的整數或全部投資配置的百分比總和超過100%, 則該投資委託將被視作無效。若全部投資配置的百分比總和少於100%, 您將被視作未就差額部份作出有效的投資委託, 相當於差額部份的供款 / 資產將被投資到預設投資。
- Your instructions will be processed by us as soon as possible.
您的指示將被儘快執行。

Part V. Tax Residency Self-Certification 第V部份 稅務居民身份自我證明

Important Notes 重要提示:

- This Part V, together with other parts, sections and items of this form stated as such (including (a) those stated as such in Part I of this form and (b) the relevant parts, sections and items of Part VII below (including the relevant acknowledgment, undertaking and certification, and the signature section (and the warning underneath)), constitute the self-certification provided by you to Bank Consortium Trust Company Limited ("BCTC") for the purpose of Automatic Exchange of Financial Account Information ("AEOI") in compliance with tax law and regulations (including but not limited to the Inland Revenue Ordinance (Cap.112) and regulations based on the Organisation for Economic Co-operation and Development (OECD) Common Reporting Standard (CRS) for automatic exchange of information ("Self-Certification"). The data collected may be transmitted by BCTC to the Inland Revenue Department for transfer to the tax authority of another country / jurisdiction.
此第V部份, 與本表格內具有同樣闡述的其他部分、章節及項目 (包括(a) 本表格內第I部份裏及(b) 以下第VII部份裏的具有同樣闡述的那些部分、章節及項目 (包括有關的確認、承諾及證明, 及簽署的部分 (和在其下的警告)) 將構成您向銀聯信託有限公司 (「銀聯信託」) 提供的自我證明的部分, 作自動交換財務帳戶資料 (「AEOI」) 用途以遵守稅務法律及規例 (包括但不限於《稅務條例》(第112章) 和根據自動交換資料有關的經濟合作與發展組織 (OECD)《共同匯報標準》(CRS) 的規則)「自我證明」。銀聯信託可把收集所得的資料交給稅務局, 稅務局會將資料交到另一國家 / 司法管轄區的稅務當局。
- This Self-Certification will remain valid unless there is any change in circumstances relating to your status of tax residency. You must notify BCTC within 30 days if there is any change in circumstances that makes any of the information provided in the Self-Certification incorrect or incomplete and provide an updated Self-Certification.
除非您的稅務居民身份有任何改變, 否則此自我證明將被視為有效。如情況有所改變, 以致本自我證明所載的資料不正確或不完整, 您必須在改變後的30天內通知銀聯信託有關的改變並提供最新的自我證明。
- BCTC **MUST** obtain the complete and valid tax residency self-certification for the setting up of member record. To avoid any delay in the setting up of member record and contribution settlement (if any), please read and complete all the appropriate parts of this form (particularly those stated as forming parts of the Self-Certification).
銀聯信託在開立成員帳戶前, 必須取得完整及有效的稅務居民身份自我證明。為避免成員帳戶開立及供款處理 (如有) 有任何延誤, 請細閱並完成所有適用部分 (尤其是那些將構成自我證明的部份)。
- All relevant identification / verification documentation for AEOI / CRS purposes should be provided to BCTC upon request. Failure to provide us with the information and other personal data as requested may result in your application / instruction not being able to be processed.
銀聯信託有權要求您提供就 AEOI / CRS 的目的所有相關的身份證明 / 驗證文件。如未能提供所需資料及其他個人資料, 可能導致您的申請 / 指示不獲處理。
- As a financial institution, BCTC is not allowed to give tax or legal advice. If you have any questions regarding your tax residency, please consult your tax adviser or visit the OECD and Inland Revenue Department's AEOI website at <http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/> and http://www.ird.gov.hk/eng/tax/dta_aeoi.htm respectively, or simply scan the QR code, for more CRS and related information.
作為財務機構, 銀聯信託不獲允許提供稅務或法律意見。若您對您的稅務居民身份有任何疑問, 請詢問專業稅務顧問或瀏覽OECD (<http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/>) 及稅務局(http://www.ird.gov.hk/chi/tax/dta_aeoi.htm) 有關AEOI的網頁, 或掃描此二維碼, 以獲取更多CRS及相關資料。



OECD



IRD (稅務局)

Part V. Tax Residency Self-Certification 第V部份 稅務居民身份自我證明 (Continued 續)**Jurisdiction of Residence and Taxpayer Identification Number or its Functional Equivalent ("TIN")**

居留司法管轄區及稅務編號或具有等同功能的識別編號（以下簡稱「稅務編號」）

Please list all countries / jurisdictions (including Hong Kong (where applicable)) where you are a resident for tax purposes and Taxpayer Identification Number or its Functional Equivalent (TIN) for each country / jurisdiction. If the space provided is insufficient, please provide it in the below format on additional sheet(s).

請在以下列明您作為稅務居民的所有國家 / 司法管轄區（包括香港（如適用））及相關的稅務編號或具有等同功能的識別編號（稅務編號）。如下列位置不敷應用，請按以下格式另加新頁。

Country / Jurisdiction of Tax Residency 稅務居民所在國家 / 司法管轄區	TIN (Remark 1) 稅務編號（備註1）	If no TIN is available, please indicate Reason A, B or C below (Remark 2) 若未能提供稅務編號，請於下方填上理由A、B或C（備註2）	Please explain why you are unable to obtain a TIN if you have selected Reason B. 若您選擇理由B，請在下方解釋無法取得稅務編號的原因。
1			
2			
3			
4			
5			

Remarks 備註

1. If you are PRC Resident Identity Card holder, the TIN is the PRC Resident Identity Card Number.

若您是中華人民共和國居民身份證持有人，稅務編號為閣下中華人民共和國居民身份證號碼。

If the account holder is a tax resident of Hong Kong, the TIN is the Hong Kong Identity Card Number.

如帳戶持有人是香港稅務居民，稅務編號是其香港身份證號碼。

2. Reason A – The country / jurisdiction where the account holder is a resident for tax purposes does not issue TINs to its residents.

理由A – 帳戶持有人所屬的稅務居民的國家 / 司法管轄區沒有向其居民發出稅務編號。

Reason B – The account holder is unable to obtain a TIN. (Please explain why you are unable to obtain TIN in the above table if you have selected this reason.)

理由B – 帳戶持有人無法獲得稅務編號。（若您選擇這理由，請在上表解釋您無法獲得稅務編號的原因。）

Reason C – No TIN is required. (Note: Only select this reason if the authorities of the relevant jurisdiction of residence do not require the TIN to be disclosed.)

理由C – 無需稅務編號。（註：只有在相關司法管轄區的主管機關不需要披露該司法管轄區發出的稅務編號方可選擇這理由。）

Part VI. Personal Information Collection Statement 第VI部份 收集個人資料聲明

The personal data provided by or in respect of Members and Participating Employers of the AMTD MPF Scheme (the "Scheme") (concerning application records and operational records and / or their dealing / transaction details records) will only be accessed and handled by properly authorised staff of Bank Consortium Trust Company Limited ("BCTC", the trustee of the Scheme), the sponsor of the Scheme (currently orientiert XYZ Securities Limited (formerly known as AMTD Global Markets Limited) ("AMTD")) and their properly authorised service providers, employees, officers, directors and agents, and auditors of the Scheme, and may be used, disclosed and / or transferred (whether in or outside Hong Kong) to such persons as BCTC or any of its service providers may consider necessary, including governmental authorities and regulators, for any of the following purposes: (i) exercising or performing the functions conferred or imposed by or under or for the purposes of the Mandatory Provident Fund Schemes Ordinance ("Ordinance"); (ii) providing services in respect of Mandatory Provident Fund and the Scheme including the processing, administering, managing, and analysing of their, as the case may be, contributions, accrued benefits and portfolios and direct marketing of Mandatory Provident Fund services; (iii) improving the provision of Mandatory Provident Fund services by BCTC to customers generally (including the facilitation of the provision of Mandatory Provident Fund services to enable the customers of BCTC generally to access Mandatory Provident Fund (or other) account details, for example, through the internet or other means); (iv) compliance with applicable laws and regulations, and court order and / or (v) any other purposes for the exercise or performance of the above mentioned functions. If there is any change in the information provided, BCTC should be notified as soon as practicable. Failure to provide the information requested may result in BCTC being unable to process the instructions. All such information may be retained after Members and Participating Employers ceased to participate in the Scheme.

Members and Participating Employers have a right, without any charge, to request access to and correction of any personal data or to request that personal data about them not be used for direct marketing purposes. Requests can be made in writing to the Data Protection Officer at BCTC, 18/F Cosco Tower, 183 Queen's Road Central, Hong Kong. Under the Personal Data (Privacy) Ordinance, Members and Participating Employers have the right to obtain a copy of information held about Members and Participating Employers and for which the Members and Participating Employers may be charged a fee.

由AMTD 強積金計劃（「本計劃」）的成員及參與僱主所提供或相關之個人資料（有關申請及運作記錄）及 / 或他們的買賣 / 交易細節記錄僅供銀聯信託有限公司（「銀聯信託」，本計劃之受託人）、本計劃之保薦人（現為 orientiert XYZ Securities Limited（前稱 尚乘環球市場有限公司）（「尚乘」）及它們正式授權之服務供應商、僱員、主任、董事及代理及本計劃之核數師使用及處理，及在銀聯信託或其任何服務供應商認為有需要時，或會被使用、披露及 / 或轉移（在香港境內或境外）予個別人士，包括政府機關及監管機構作以下列任何之目的：（一）行使或執行強制性公積金計劃條例（「條例」）下所授予或施加之職能或根據該條例的目的而行使或執行職能；（二）提供強制性公積金及本計劃的服務包括處理、掌管、管理及分析供款、累算權益及投資組合，視乎情況而定，及直銷強制性公積金服務；（三）改善銀聯信託提供予客戶一般之強制性公積金服務（包括協助提供強制性公積金服務以令銀聯信託之客戶可經例如互聯網或其他途徑處理強制性公積金（或其他）戶口資料）；（四）遵守適用之法律及規例及法院命令及 / 或（五）任何以行使或執行上述職能作目的之用途。如所提供資料有所變更，應在可行的情況下儘快通知銀聯信託。未能提供所需資料可能導致銀聯信託不能處理有關指示。於成員及參與僱主停止參與本計劃後，受託人仍可保留上述所有資料。

成員及參與僱主在不設收費下有權要求查閱或更改任何個人資料或要求個人資料不被用作直銷之用。可以書面聯絡銀聯信託之資料保護主任，香港皇后大道中183號中遠大廈18樓。根據個人資料（私隱）條例，成員及參與僱主有權在支付費用的情況下，索取一份有關成員及參與僱主的資料副本。

Part VII. Authorisation, Declaration and Consent 第VII部份 授權、聲明及同意

By signing this document:

- (1) I understand and agree to the terms of the Personal Information Collection Statement as set out in this form.
- (2) I undertake that if there is any change in the information so provided, I shall notify BCTC and orientiert XYZ Securities Limited as soon as reasonably practicable.
- (3) I declare that to the best of my knowledge and belief, the information given in this form and / or its attachment(s), if any, is correct and complete and that the contribution amount is derived from my relevant income.
- (4) I acknowledge and agree that (a) the information contained in the parts of this form constituting the Self-Certification is collected and may be kept by BCTC for the purpose of AEOI, and (b) such information and information regarding the account holder and any reportable account(s) may be reported by BCTC to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region and exchanged with the tax authorities of another country / countries and / or jurisdiction(s) in which the account holder may be resident for tax purposes pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Cap.112), and (c) I agree to the obligation that the account holder must comply with requests made by BCTC to comply with the CRS (AEOI) requirements under the Inland Revenue Ordinance and / or applicable law and regulation, and such obligation forms the basis of the account to be opened.
- (5) I undertake to advise BCTC of any change in circumstances which affects the tax residency status of the individual identified in the parts of this form constituting the Self-Certification or causes the information contained herein to become incorrect or incomplete, and to provide BCTC with a suitably updated Self-Certification within 30 days of such change in circumstances.
- (6) I expressly consent to the use of my personal data (name, telephone no., fax no., e-mail address, address and account records) for the purpose of direct marketing of Mandatory Provident Fund Services (and ancillary MPF products) by orientiert XYZ Securities Limited (or their employees or agents); but I understand that orientiert XYZ Securities Limited cannot make such use of my personal data without my consent and will cease upon my written or verbal request. I further understand that if I do not wish to consent to my personal data being used for the said direct marketing purpose, I should indicate that no consent is given, by ticking this box.
- (7) I certify that I am the account holder of all the account(s) to which this form relates and / or currently held with BCTC (if any).
- (8) I declare that to the best of my knowledge and belief, the information given and statements made in this form and / or its attachment(s), if any, are true, correct and complete.

經簽署本文件：

- (1) 本人明白及同意於此表格之收集個人資料聲明條款。
- (2) 本人承諾若所提供之資料有任何更改，將儘快通知銀聯信託及 orientiert XYZ Securities Limited。
- (3) 本人聲明，盡本人所知及所信，本表格及隨附之文件（如有）所提供的資料均屬正確無訛且無缺漏，及此供款金額乃由本人之有關入息衍生而來。
- (4) 本人確認及同意，銀聯信託可根據《稅務條例》(第112章)有關交換財務帳戶資料的法律條文，(a) 收集本表格構成自我證明的部份所載資料並可備存作AEOI用途及(b) 把該等資料和關於帳戶持有人及任何須申報帳戶的資料向香港特別行政區政府稅務局申報。從而把資料轉交到帳戶持有人的國家及 / 或司法管轄區的稅務當局及(c) 本人同意帳戶持有人必須遵守銀聯信託的要求以便遵守《稅務條例》及 / 或適用法律及規例的CRS (AEOI) 規定，並為日後開立帳戶之基礎。
- (5) 本人承諾，如情況有所改變，以致影響本表格構成自我證明的部份所述的個人的稅務居民身份，或引致本自我證明所載的資料不正確或不完整，本人會通知銀聯信託，並會在情況發生改變後30日內，向銀聯信託提交一份已適當更新的自我證明表格。
- (6) 本人即明確表示同意 orientiert XYZ Securities Limited（及其僱員或代理）使用本人的個人資料（姓名、電話號碼、傳真號碼、電郵地址、地址及戶口記錄）作直銷強制性公積金服務（及有關強積金的產品）的目的，但本人明白倘本人不同意 orientiert XYZ Securities Limited不能如此使用本人的個人資料及倘接獲本人之書面或口頭要求，該使用將停止。本人亦明白如本人不欲將本人的個人資料用作上述直銷用途，本人應在末段的方格內加上“✓”號，以表示不同意。
- (7) 本人證明，就與本表格所有相關的帳戶及 / 或現於銀聯信託持有的帳戶（如有），本人是帳戶持有人。
- (8) 本人聲明，盡本人所知及所信，本表格及隨附之文件（如有）所提供的資料和聲明均為屬實、正確無訛且無缺漏。



Signature of Applicant 申請人簽署

Date (D/M/Y) 日期 (日/月/年)

WARNING: It is an offence under section 80(2E) of the Inland Revenue Ordinance if any person, in making a Self-Certification, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable on conviction to a fine at level 3 (i.e. HK\$10,000).

警告：根據《稅務條例》第80(2E)條，如任何人在作出自我證明時，在明知一項陳述在要項上屬具誤導性、虛假或不正確，或罔顧一項陳述是否在要項上屬具誤導性、虛假或不正確下，作出該項陳述，即屬犯罪。一經定罪，可處第3級（即HK\$10,000）罰款。

Internal Use Only 內部專用

Date Received:

Input By:

Verified By:

Remarks:

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**AMTD MPF Scheme – Direct Debit Authorisation Form (Special Voluntary Contribution Savings Plan)****AMTD 強積金計劃 – 直接付款授權書（特別自願性供款儲蓄計劃）**

Name of Party to be Credited (the Beneficiary) 收款人（受益人）名稱	Bank Code 銀行編號	Branch Code 分行編號	Account No. to be Credited 收款帳戶號碼
Bank Consortium Trust Co Ltd as trustee of AMTD MPF Scheme	0 0 6	3 9 1	6 1 5 3 8 9 5 7

Direct Debit Authorisation Declaration:

- I / We authorise my / our below-named bank (“the Bank”) to effect transfers from my / our account to that of the above-named Beneficiary in accordance with such instructions as the Bank may receive from the Beneficiary and / or its banker from time to time.
- I / We agree that the Bank shall not be obliged to ascertain whether or not notice of any such transfer has been given to me / us.
- I / We jointly and severally accept full responsibility for any overdraft (or increase in existing overdraft) on my / our account which may arise as a result of any such transfer(s).
- I / We confirm that my / our signature(s) on this Form is / are the same as that / those for the operation of my / our savings / current account to be debited for the transfer.
- I / We agree to notify BCTC, c/o orientiert XYZ Securities Limited of any change of bank account or cancellation of payment method and further agree that should there be insufficient funds in my / our account to meet any transfer hereby authorised, the Bank shall be entitled, at its discretion, not to effect such transfer in which event the Bank may levy the usual service charge to be paid by me / us.
- This authorisation shall have effect until further notice.
- I / We agree that any notice of cancellation or variation of this authorisation which I / we may give to the Bank shall be given at least seven business days prior to the date on which such cancellation / variation is to take effect and at the same time such notice shall be given to BCTC and orientiert XYZ Securities Limited in writing.
- I / We authorise BCTC, to initiate and arrange for contributions to be debited from my / our bank account according to the following specification, in favour of BCTC, itself.
- I / We understand that BCTC, may cancel this direct debit service at any time on one week’s written notice without recourse.
- In consideration of BCTC’s agreeing to accept and act upon my / our instructions to initiate the making of direct debits from my / our designated account to BCTC’s designated accounts with Citibank, I / we agree to indemnify BCTC and hold BCTC harmless against all actions, claims, proceedings, loss, damages, costs and expenses of whatever nature which may be brought against BCTC or suffered or incurred by BCTC and which shall have arisen either directly or indirectly out of or in connection with this direct debit authorisation arrangement.
- I / We understand and agree to the terms and conditions above.

直接付款指示聲明：

- 本人 / 吾等現授權本人 / 吾等下述的銀行（「付款銀行」）按上述受益人不時給予之指示由本人 / 吾等的銀行帳戶將款項轉帳予上述受益人之銀行帳戶。
- 本人 / 吾等同意付款銀行並無義務於每次轉帳時對本人 / 吾等作出通知。
- 如因該等轉帳而引致本人 / 吾等的帳戶出現透支（或引致現時之透支增加），本人 / 吾等願共同及個別承擔全部責任。
- 本人 / 吾等確認本人 / 吾等於本表格上之簽署，與本人 / 吾等運作付款銀行儲蓄或支票帳戶之簽署完全相符。
- 本人 / 吾等同意就更改付款帳戶或取消付款方式而向銀聯信託c/o orientiert XYZ Securities Limited作出通知，並同意付款銀行可在本人 / 吾等帳戶存款不足的情況下毋須完成有關轉帳，以及因此而產生之一般銀行服務費用亦由本人 / 吾等負責繳付。
- 此項付款授權將持續有效直至另行通知為止。
- 本人 / 吾等同意必須於七個工作天前就此項付款授權之任何轉變或取消通知本人 / 吾等之付款銀行作出通知，並同時以書面通知銀聯信託及 orientiert XYZ Securities Limited。
- 本人 / 吾等現授權銀聯信託從本人 / 吾等下述的銀行帳戶提出及安排扣除供款，以支付有關金額予銀聯信託。
- 本人 / 吾等明白銀聯信託可於一星期前發出書面通知取消此直接付款服務，並毋須負追索之責任。
- 因銀聯信託同意接受及遵從本人 / 吾等之指示由本人 / 吾等指定之銀行戶口直接將款項轉入銀聯信託在花旗銀行指定之戶口，本人 / 吾等同意就此項直接付款授權安排中所有直接或間接向銀聯信託提出或引致銀聯信託蒙受損害之一切訴訟、申索、法律程序、損失、賠償、訟費及任何性質的開支對銀聯信託作出彌償。
- 本人 / 吾等明白及同意上述的條款及條件。

My / Our Bank and Branch Name 本人 / 吾等之銀行及分行名稱	Bank Code 銀行編號	Branch Code 分行編號	Account No. 帳戶號碼
Details of Account Holder(s) as on Statement / Passbook* 帳戶持有人於結單 / 存摺* 上所記錄的資料			
Name of Account Holder 帳戶持有人姓名 <i>(Must be the same as the name stated in Part I 必須與第I部份填寫的姓名相符)</i>	Signature of Account Holder 帳戶持有人簽署 <i>(Please sign in the <u>same specimen that you sign on your Bank Account</u> 請以銀行帳戶的簽署式樣簽署)</i>		
HKID Card / Passport* No. (Please provide a copy) 香港身份證 / 護照* 號碼（請附上副本）	Date (D/M/Y) 日期（日/月/年）：		
Name of Joint Account Holder(s) (if applicable) 聯名帳戶持有人姓名（如適用）	Signature(s) of Joint Account Holder(s) 聯名帳戶持有人簽署 <i>(Please sign in the <u>same specimen that you sign on your Bank Account</u> 請以銀行帳戶的簽署式樣簽署)</i>		
HKID Card / Passport* No. (Please provide a copy) 香港身份證 / 護照* 號碼（請附上副本）	Date (D/M/Y) 日期（日/月/年）：		
Debtor’s Reference (BCTC USE ONLY) 債務人參考（銀聯信託專用）	Limit for each Month (Optional) 每月限額（如適用） HK\$ 港元		

* Delete as appropriate 請刪去不適用者

Trustee & Administrator 受託人及行政管理人: Bank Consortium Trust Company Limited 銀聯信託有限公司
18/F Cosco Tower, 183 Queen’s Road Central, Hong Kong 香港皇后大道中183號中遠大廈18樓