

## AMTD MPF SCHEME (the “Plan”)

### NOTICE TO PARTICIPATING EMPLOYERS AND MEMBERS

**This document is important and requires your immediate attention. If you are in any doubt about the contents of this document, you should seek independent professional financial advice.**

The directors of AMTD Global Markets Limited (the “**Sponsor**”), and Bank Consortium Trust Company Limited (the “**Trustee**”), accept responsibility for the information contained in this document as being accurate as at the date of this document.

Unless otherwise defined herein, terms used in this document bear the same meaning as in the MPF Scheme Brochure of the Plan dated April 2020, as amended by the first addendum dated August 2020 and the second addendum dated September 2020 (the “**MPF Scheme Brochure**”).

Dear Participating Employer / Member,

Thank you for your continued support of the Plan. We are writing to inform you of certain update to risk disclosures in the MPF Scheme Brochure as summarized below:

#### **Summary of amendment:**

- With immediate effect, the disclosures in relation to China investment risk are updated.

The above amendment will not have any adverse impact on Members.

The investment objectives and policies, and the risk profiles in respect of all the constituent funds of the Plan remain unchanged.

Should you have any enquiries regarding the contents of this Notice, please contact AMTD MPF Hotline at 3163 3260.

#### **Update to the disclosures relating to China investment risk**

The list of approved stock exchanges, for the purpose of the Mandatory Provident Fund Schemes (General) Regulation, was updated (“**Update**”) on 13 November 2020 to include, among others, the Shanghai Stock Exchange and the Shenzhen Stock Exchange (“**PRC Stock Exchanges**”). Accordingly, investment in China A shares listed on the PRC Stock Exchanges is no longer subject to the investment limit (up to 10% of net asset value) which applies to investment in shares listed on a stock exchange that is not an approved stock exchange.

It is currently disclosed under the first paragraph of “**4.1.11 China investment risk**” in the sub-section “**4.1 RISK FACTORS**” under the section “**4. RISKS**” of the MPF Scheme Brochure that each underlying APIF may invest up to 10% of its net asset value in shares listed on a stock exchange that is not an approved stock exchange as defined in the Regulation, including but not limited to China A shares listed on the PRC Stock Exchanges. In view of the

Update, the PRC Stock Exchanges are listed as “approved stock exchanges” and thus, the aforesaid disclosure will no longer be applicable and will be removed accordingly.

### **General**

The MPF Scheme Brochure will be amended by way of a third addendum to the MPF Scheme Brochure (“**Third Addendum**”) to reflect the update set out above. Copies of the MPF Scheme Brochure as amended will be available at the Sponsor’s website ([www.amtdgroup.com](http://www.amtdgroup.com)) and may be inspected during normal working hours at the offices of the Trustee and the Sponsor free of charge upon request. The amendments described in this Notice are in summary form only. Members should review the MPF Scheme Brochure as amended by the Third Addendum for further details on the amendments made.

### **For Further Information**

Should you have any enquiries regarding this Notice, please contact AMTD MPF Hotline at 3163 3260.

Yours faithfully,

AMTD Global Markets Limited  
Bank Consortium Trust Company Limited

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